



**Global Emerging Markets  
Private Equity / Corporate  
Transactions Practice**



**Kenneth Schwartz**

Founder and Managing Partner

Ken Schwartz is the founding member of Schwartz Law Firm LLC, a law firm dedicated to serving private equity funds, buyout firms, investment banks, corporations and major private investors active in emerging markets. He concentrates on cross-border private equity investments, private equity fund formation, offshore and global holding entities, international corporate and securities transactions, mergers and acquisitions, foreign investments in emerging markets, and corporate finance transactions.

Prior to founding Schwartz Law Firm LLC, Ken was a partner at Grant, Herrmann, Schwartz & Klinger LLP and the head of its securities practice.

Ken joined Grant, Herrmann after working as a foreign associate at the Mexican law firm Bryan, González Vargas y González Baz, in Mexico City and in Ciudad Juárez, where he concentrated on strategic investments by Fortune 500 multinational corporations in Mexico. Before working in Mexico, Ken was a corporate associate at the New York corporate law firm Siller Wilk LLP.

Ken received his law degree from the University of Miami School of Law in 1991 and graduated from Fordham University in 1988 (B.A., History). Ken is fluent in Spanish, Portuguese and French, and has published several articles and spoken at conferences on a variety of corporate and securities law topics.

Ken is a member of the Board of Directors of the Mexican Cultural Institute of New York and the non-profit organization Interns for Peace. He also sits on the advisory board of the Park Avenue Christian Church Day School and is a member of board of trustees of Congregation Daat Elohim (Temple of Universal Judaism). His wife Maryela is from Mexico. They have two daughters and live in New York City.

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PUBLICATIONS  
AND  
ADDRESSES

Open Price Contracts and Specific Performance Under the U.N. Sales Convention and the U.C.C., 1 THE UNIVERSITY OF MIAMI YEARBOOK OF INTERNATIONAL LAW 356 (1991). Co-author, with Richard L. Herrmann, Offshore Private Investment Companies and Trusts, in LATIN FINANCE / PRIVATE BANKING AND ASSET MANAGEMENT IN LATIN AMERICA 1997. Mergers and Acquisitions in Latin America: an Update on Recent Market Developments and SEC Regulation Initiatives, address to a joint meeting of the Inter-American Bar Association and the Canadian Bar Association, Ottawa, Canada, October 16, 1999; Private Equity Investments in Brazil, address to the the Associação Brasileira de Analistas do Mercado de Capitais (ABAMEC), São Paulo, Brazil. Private Equity in Latin America, address to the Inter-American Bar Association, Washington, D.C., September 26, 1997. The New Mexican Foreign Investment Law and NAFTA, address to the Tri-Lateral Commission of North American Chambers of Commerce, Banff, Canada, July 1994; Las Fuentes del Derecho Norteamericano (Sources of American Law), address to the National Association of Mexican Notaries, Ciudad Juárez, Mexico, September 24, 1993.

MEMBERSHIP

- Association of the Bar of the City of New York (Member, Committee on Inter-American Affairs);
- American Bar Association Section of Business Law (Member, COMMITTEE ON FEDERAL REGULATION OF SECURITIES, **Subcommittee on International Securities Matters**; COMMITTEE ON NEGOTIATED ACQUISITIONS, **Task Force on International Acquisition Transactions**; COMMITTEE ON INTERNATIONAL BUSINESS LAW, Subcommittee on Latin America; COMMITTEE ON VENTURE CAPITAL AND PRIVATE EQUITY, Subcommittee on Fund Formation and **Founding Member, Subcommittee on International Venture Capital and Private Equity**);
- American Bar Association Section of International Law and Practice (Member, INTER-AMERICAN LAW COMMITTEE, MEXICAN LAW COMMITTEE and Steering Committee for the 2004 New York Spring Meeting);
- Inter-American Bar Association;
- American Foreign Law Association;
- New York State Bar Association Section of International Law;
- Union Internationale des Avocats;
- International Bar Association.